# Silicones for Resin Modification



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# Introduction

# Silicones for Resin Modification

Silicones are highly functional resins that combine the characteristics of both inorganic and organic substances and exhibit an array of useful properties including heat resistance, cold resistance, weatherability, dielectric properties, release properties and water repellency. By introducing silicones into other resins, the user can create new resin materials with enhanced functionality that includes not only heat resistance, weatherability and flame resistance, but improved impact resistance, lubricity and flexibility. With their enhanced functionality, these high-performance composite resins are lightweight and have excellent fabricating characteristics and are used in a myriad of fields including electric and electronics applications, automotive applications, electrical wire, and construction. Silicones for resin modification are available in many forms such as silanes and silane coupling agents, resins, oligomers, silicone fluids, modified silicone fluids and powders, so the user can choose the right type of product for the intended application and usage conditions.

# **Silanes and Resins**

In this issue, we focus on silane coupling agents for resin modification, whose molecules contain two or more reactive groups which react with inorganic and organic materials, and look at their hydrolysis-condensation products, i.e. silicone resins and silicone alkoxy oligomers. As they bind to resins, these products impart adhesiveness, heat resistance, flame resistance and other properties to the resins, and can thus be used to create materials with enhanced functionality.

## Introduction

Silane coupling agents are compounds which contain within their molecules a minimum of two different reactive groups, one of which binds chemically to inorganic materials and the other of which binds chemically to organic materials. Silane coupling agents, and the silicone resins and silicone alkoxy oligomers which are their hydrolysis-condensation products, contain reactive groups and polar functional groups within their structures, which means they can be used to modify organic resins. Silane coupling agents exhibit moisture-initiated crosslinking (moisture cure) properties and adhesiveness to inorganic and metallic materials, while silicone resins exhibit properties which include heat resistance and flame resistance. These properties can be imparted to other resins.

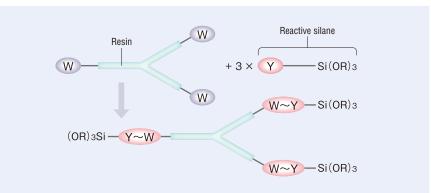
# 1. Synthesis of crosslinkable polymers using reactive organic group-containing silane coupling agents

The alkoxy groups in a silane coupling agent react with water in the presence of a catalyst to form stable siloxane bonds as shown in **Equation 1**.

### Equation 1: Crosslinking mechanism $2 \times \equiv Si \longrightarrow OR + 2H_2O \rightarrow 2 \times \equiv Si \longrightarrow OH + 2ROH$ $\rightarrow \equiv Si \longrightarrow O \longrightarrow Si \equiv +H_2O + 2ROH$

Thus, an organic resin that has been modified by the organic reactive groups in the silane coupling agent, and functionalized with alkoxysilyl groups will have good crosslinking





characteristics. And because it contains alkoxy groups, which have good affinity for inorganic and metallic materials, the modified resin will exhibit good adhesion to various substrates. Moreover, because the siloxane bonds formed via the crosslinking reaction are chemically stable, the crosslinkable polymer should exhibit improved heat resistance as the resin properties are enhanced.

As the following shows, there are several methods for introducing alkoxysilyl groups into an organic resin using a silane coupling agent.

### 1-1. Synthesis of crosslinkable polymers via chemical reaction

A synthesis model based on chemical

Functional group category	Reactive group (Y)	Product name	Alkoxy groups	Reactive groups in applicable resin (W)
	—C3H6NH2	KBM-903	Methoxy	
Amino		KBE-903	Ethoxy	Isocyanate groups,
Ammo		KBM-573	Methoxy	Epoxy groups
		KBM-403	Methoxy	
	-C3H6OCH2CH CH2	KBE-402	Ethoxy	Amino groups,
Ероху	0	KBE-403	Ethoxy	Hydroxyl groups,
	-C2H4-0	KBM-303	Methoxy	Carboxyl groups
Maxaanta	—СзН6SH	KBM-802	Methoxy	lsocyanate groups
Mercapto	-030650	KBM-803	Methoxy	isocyaliale groups
Isocyanate	—СзН6NCO	KBE-9007	Ethoxy	Amino groups, Hydroxyl groups, Mercapto groups
Acid anhydride	-C3H6-C0 0	X-12-967C	Methoxy	Amino groups, Hydroxyl groups

Table 1: Reactive organic group-containing silane coupling agent	Table 4.	Desetive		avaux containing	a:1a.a.a		
	Table 1:	Reactive	organic	group-containing	snane	coupling	agents

group-containing silane coupling agents, or hydroxyl group-containing resins with acid anhydride-containing silane coupling agents. These reactions are used in the modification of high-function resins such as moisture-cure liquid rubbers and sealing agents, and more

liquid rubbers and sealing agents, and more recently polyimides and other materials for electronic applications.

reaction is shown in Figure 1. By reacting a

resin containing an organic group W with a

silane coupling agent containing an organic

functional group Y which reacts with W, it is

easy to obtain an organic resin functionalized

We find many examples of such reactions

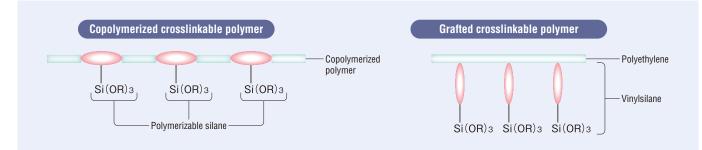
isocyanate group-containing resins with

amino group-containing or mercapto

with alkoxysilyl groups.

(Table 1), including the reaction of

Figure 2



# 1-2. Synthesis of crosslinkable polymers via copolymerization

By copolymerizing a silane coupling agent which contains unsaturated groups (e.g. vinyl groups, styryl groups, (meth)acrylic groups) with a radical-polymerizing monomer (e.g. (meth)acrylate, styrene, vinyl ester, PVC, ethylene, propylene), we can obtain a resin which contains alkoxysilyl groups in its side chains (Figure 2, Table 2). Crosslinkable copolymers obtained through such copolymerization are now in practical use as a base for weather resistant paints.

# 1-3. Synthesis of crosslinkable polymers via grafting

Vinyl group-containing silane coupling agents will, in the presence of an organic peroxide, initiate a grafting reaction with aliphatic olefin polymers including polyethylene and polypropylene to give a polyolefin polymer which contains alkoxysilyl groups in its side chains (Figure 2). The vinylsilane-grafted polyethylene obtained via this method is used as moisture-cure polyethylene for wire coating and in other industrial applications. Because it can cure at a temperature below the softening point of the polymer, the cured material retains its shape and also shows improved resistance to thermal aging, adhesiveness and other

### properties.

## 2. Resin modification using nonreactive polar organic group-containing silane coupling agents

Unlike the aforementioned silane coupling agents which contain reactive groups that form chemical bonds directly, there also exist silane coupling agents which contain nonreactive polar groups and manifest a modifying effect through secondary interaction as typified by hydrogen bonding. Examples include ureido group-containing silane coupling agents and isocyanurate group-containing silane coupling agents (Table 3). Their polar structure gives them excellent miscibility with highly polar resins such as polyamide, polyimide, polyester, polycarbonate and polyurethane, while improving adhesion to inorganic and metallic materials. And isocyanurate group-containing silane coupling agents can, thanks to their skeleton of isocyanurate rings, impart gas permeability resistance.

## 3. Resin modification using silicone resins and silicone alkoxy oligomers

By nature of their main skeleton of three-dimensional siloxane bonds, silicone

resins exhibit high hardness and have excellent weatherability and flame resistance. One group of silicone resins known collectively as silicone alkoxy oligomers (below shortened to "oligomers") are characterized by relatively low molecular weights, and their molecules are end-capped with alkoxysilyl groups. As the degree of polymerization increases, methyl silicone resins tend to have lower miscibility with other resins. Thus, oligomers are often used. Methyl phenyl silicone resins have phenyl groups introduced into the side chains for enhanced miscibility. There are many such products on the market today, ranging from highly polymerized resins to oligomers.

Resin modification methods can be divided into two categories: the chemical bonding method, whereby organic groups in the resin are reacted directly with organic groups in the silicone resin; and the integral blend method, whereby the silicone resin is simply mixed into the resin.

### 3-1. Chemical bonding method

This resin modification method uses methyl silicone resins and methyl phenyl silicone resins which contain alkoxysilyl and silanol groups, as well as oligomers which contain reactive organic groups. This generally involves a reaction with a hydroxyl

Table 2: Polymerizabl	e organic group-co	ntaining silane co	upling agents

Functional group category	Reactive group (Y)	Product name	Alkoxy groups
Vinul		KBM-1003	Methoxy
Vinyl	-CH=CH2	KBE-1003	Ethoxy
Styryl	$- \bigcirc \\ \land$	KBM-1403	Methoxy
		KBM-502	Methoxy
Mathaanulata	—C3H6OCOÇ=CH2	KBM-503	Methoxy
Methacrylate	СНз	KBE-502	Ethoxy
		KBE-503	Ethoxy
Acrylate	-C3H6OCOCH=CH2	KBM-5103	Methoxy

### Table 3: Nonreactive polar organic group-containing silane coupling agents

Functional group category	Reactive group (Y)	Product name	Alkoxy groups
Ureido	—СзН6NHCNH₂ О	KBE-585	Ethoxy
Isocyanurate	C3H6- 0-N-0 -C3H6N-NC3H6- 0	KBM-9659	Methoxy



Crosslinked polyethylene: heat resistance test

group-containing resin in the presence of a catalyst, as shown in Equation 2. There are other possible reactions, such as reacting an isocyanate group-containing resin with a mercapto group-containing oligomer, or an epoxy group-containing resin with an amino group-containing oligomer (Table 4). Typical applications involve the modification of acrylic, epoxy or polyester resins to produce a base for paints which offer improved weatherability, heat resistance and chemical resistance.

### **Equation 2: Crosslinking mechanism**

≡Si—OR+HO—C ···· → ≡Si—O—C ···· +ROH

### 3-2. Integral blend method

This method of resin modification involves the use of a methyl phenyl silicone resin which does not contain reactive organic groups (Table 5). The resin is added by melt-mixing with a thermoplastic resin such as polycarbonate (PC), thereby imparting flame resistance without losing the inherent molding properties, impact strength and moisture resistance of the PC resin (Table 6, Figure 3).

### Table 4: Silicone alkoxy oligomers

Functional group category	Reactive group	Product name	Alkoxy groups	Features
			Methoxy	Low DP (degree of polymerization)
Methyl	Alkoxysilyl groups	KR-500	Methoxy	Medium DP
		X-40-9225	Methoxy	High DP
		KR-510	Methoxy	High hardness
Methyl/Phenyl	/Phenyl Alkoxysilyl groups	KR-9218	Methoxy	Medium hardness
Wethyl/Thenyr		X-40-9227	Methoxy	Improves softness
		KR-213	Methoxy	High phenyl content
Ероху	—СзН6ОСН2СН СН2	X-41-1053	Methoxy/Ethoxy	Epoxy equivalent 830 g/mol
Methyl/Epoxy		KR-516	Methoxy	Epoxy equivalent 280 g/mol
Mercapto		X-41-1805	Methoxy/Ethoxy	Mercapto equivalent 800 g/mol
Methyl/Mercapto	—C3H6SH	X-41-1810	Methoxy	Mercapto equivalent 450 g/mol
Methyl/Acrylate	-C3H6OCOCH=CH2	KR-513	Methoxy	Acrylate equivalent 210 g/mol

### Table 5: Silicone resins

Functional group category	Product name	Alkoxy groups	Features
Methyl/Phenyl	KR-480	—	Solid resin, softening point: 95°C

### Table 6: Properties of polycarbonate with added KR-480

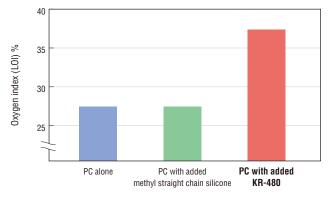
Item		PC alone	PC with added brominated flame retardant	PC with added KR-480
Bend strength	kgf/cm <sup>2</sup>	960	970	930
Flexural modulus	kgf/mm <sup>2</sup>	230	230	220
Impact strength	kgf∙cm/cm	97	45	80
Heat deflection temperature	°C	138	137	134
Rockwell hardness		63	66	60
Melt flow index	g/min	10.4	10.7	11.8
Flame resistance UL94*		V-2	V-0	V-0
* Test strip thickness: 1/16 in.				(Not specified values

# Conclusion

As the required characteristics for resins become more sophisticated in many fields, the use of engineering plastics and other high function resins for molded items and paints is on the rise. Shin-Etsu will continue to fill market needs by developing products that can be used for modification of these new resin materials. We are developing silanes and silicone resins functionalized with new reactive groups and products which can impart properties that were not possible previously.

Information current as of October 2010.

## Figure 3: Flame resistance of polycarbonate with silicone added



# Silicone Oligomers

Silicone oligomers are attracting attention as a category of products that can be used in applications where silane coupling agents and silicone resins could not. In this edition, we will discuss these silicone oligomers -a group of products having medium molecular weights and containing reactive functional groups.

# Introduction

In order of increasing molecular weight, compounds can be grouped as follows: monomers, oligomers and polymers. Those that fall in the middle are called oligomers. Although oligomers are not defined by a specific degree of polymerization, they are typically dimers and trimers with molecular weights up to around 1.000.

Silicones are a diverse group of materials that can also be divided into categories, from monomers such as alkoxysilanes and silane coupling agents, whose molecules contain a single silicon atom, to polymers such as resins, fluids and rubbers. Those that fall somewhere in the middle are called silicone oligomers (Fig. 1).

Silicone oligomers dissolve well in organic resins and consist of 100% active content that can be involved in chemical reactions. They can thus be used as reactive diluents, helping users avoid the use of solvents. In addition, they contain virtually no silanols and have long shelf lives. Silicone oligomers can be used as the main component to produce coatings that are extremely hard and weatherable, or combined with organic resins to create hybrid materials with improved heat resistance. Indeed, the list of applications for silicone oligomers continues to grow.

Whereas the molecules of "straight" and "modified" silicone fluids are built on a backbone of straight-chain siloxy units, the Type A and Type AR silicone oligomers (which contain alkoxysilyl groups) and Type R silicone oligomers (which contain reactive functional groups) we will discuss here are built on a backbone of branched siloxy units or siloxy units that branch after curing (Fig. 2). This gives the molecules a more three-dimensional structure, and gives silicone oligomers their improved curability and improved miscibility with organic resins.

# 1. Alkoxy oligomers (Type A)

### **Reactive groups: Not containing** Alkoxysilyl groups: Containing

Type A alkoxy oligomers (Table 1) contain only alkoxysilyl groups.

One group of Type A products, methyl oligomers, contain methyl groups as a non-functional organic substituent. Methyl oligomers have high hydrolytic reactivity, and when used with the proper curing agent (catalyst), can be used as coating agents that cure with ambient moisture at room temperature. The curing agents for these products are typically titanium based.

### **Crosslinking mechanism**

 $2 \equiv Si-OR + 2 H_2O \rightarrow 2 \equiv Si-OH + 2 ROH$  $\rightarrow \equiv$ Si-O-Si $\equiv$  + H<sub>2</sub>O + 2 ROH

Methylphenyl oligomers, which contain both methyl and phenyl groups, have good miscibility with organic resins such as acrylics, epoxies and polyesters. For this reason, methylphenyl oligomers are often combined with these resins to create hybrid materials or used as reactive diluents.

### Crosslinking mechanism

≡Si-OR + HO-C-(Organic resins)  $\rightarrow \equiv$ Si-O-C-(Organic resins)+ROH

Copolymers, obtained through reactions involving the alkoxysilyl groups, are used to produce paints with excellent weatherability, heat resistance and chemical resistance. In organic resin modification applications,

alkyl titanates, organic acids and amine compounds are often used as reaction catalysts (promoters). But because the oligomers have a tendency to self-condense in such cases, the reaction conditions must be carefully controlled.

### 2. Alkoxy oligomers (Type AR)

### **Reactive groups: Containing** Alkoxysilyl groups: Containing

Type AR alkoxy oligomers (Table 2) contain both alkoxysilyl groups and reactive functional groups. Shin-Etsu offers a range of alkoxy oligomers that contain epoxy, mercapto, acrylate or methacrylate groups as the organic functional group.

Silane coupling agents are commonly added to organic resins to improve their adhesion to inorganic materials. However silane coupling agents can evaporate during the heat-cure process, which means they may not work to best effect. In cases where this is a problem, organic functional group-containing oligomers formulated to give them lower volatility can be quite effective. An example of such a product is KR-513, shown in Fig. 3.

The organic functional groups in this oligomer can be reacted with other organic resins to produce modified resins whose molecules will contain alkoxysilyl groups. This method has been used to create a variety of moisture-cure organic resins.

When alkoxysilyl groups are hydrolyzed, silanol groups are produced. Because these silanol groups are hydrophilic, alkoxysilyl groups are pushed to the surface during the curing process. Then, through hydrolysis, silanol groups will form on the surface such that the cured coating will have improved hydrophilic anti-fouling properties (Fig. 4).

### Figure 1: Position of silicone oligomer

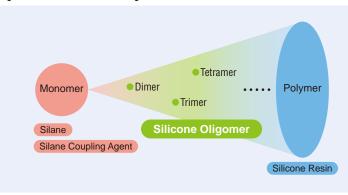
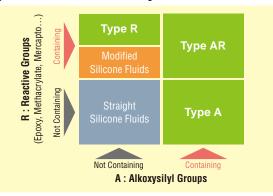


Figure 2: Classification of silicone oligomers



### Table 1: Alkoxy oligomers (Type A)

Product name	Organic substituent group	Alkoxy group	Viscosity 25°C mm²/s	Refractive index 25°C	Alkoxy group content wt%	SiO2 content wt%	Features		
KC-89S	Methyl	Methoxy	5	1.394	45	59	Low DP (degree of polymerization)		
KR-500	Methyl	Methoxy	25	1.403	28	63	Medium DP		
X-40-9225	Methyl	Methoxy	100	1.407	24	67	High DP		
X-40-9246	Methyl	Methoxy	80	1.407	12	72	Improves flexibility		
X-40-9250	Methyl	Methoxy	160	1.407	25	73	Improves flexibility, thick coatings		
KR-401N	Methyl/Phenyl	Methoxy	20	1.432	33	56	Low phenyl content, Curability		
X-40-9227	Methyl/Phenyl	Methoxy	15	1.460	15	59	Improves softness		
KR-510	Methyl/Phenyl	Methoxy	100	1.509	17	44	High hardness		
KR-9218	Methyl/Phenyl	Methoxy	40	1.529	15	40	Forms medium hardness coatings		
KR-213	Methyl/Phenyl	Methoxy	16	1.525	20	38	High phenyl content		
	(Not specified values								

### Table 2: Alkoxy oligomers (Type AR)

Product name	Organic substituent group	Alkoxy group	Viscosity 25°C mm²/s	Refractive index 25°C	Alkoxy group content wt%	Features			
X-41-1053	Ероху	Methoxy/Ethoxy	12	1.414	50	Epoxy equivalent 830 g/mol			
X-41-1059A	Ероху	Methoxy/Ethoxy	30	1.434	42	Epoxy equivalent 350 g/mol			
X-24-9590	Ероху	Methoxy	354	1.448	9.5	Epoxy equivalent 592 g/mol			
KR-516	Epoxy/Methyl	Methoxy	50	1.441	17	Epoxy equivalent 280 g/mol			
X-41-1805	Mercapto	Methoxy/Ethoxy	30	1.418	50	Mercapto equivalent 800 g/mol			
X-41-1818	Mercapto	Ethoxy	14	1.417	60	Mercapto equivalent 850 g/mol			
X-41-1810	Mercapto/Methyl	Methoxy	5	1.422	30	Mercapto equivalent 450 g/mol			
KR-513	Acrylate/Methyl	Methoxy	35	1.450	20	Acrylate equivalent 210 g/mol			
	(Not specified value								

### Table 3: General properties of X-40-2670 (Type R)

3. Silicone oligomers containing

Type R oligomers contain only reactive

Modified silicone fluids with relatively

low molecular weights are comparable to

the reactive group-containing oligomers in

this category. Since the molecules of these

modified silicone fluids are built on a backbone

of straight-chain dimethylsiloxy units and due

to their wide molecular weight distribution,

they have been known to have poor miscibility

with organic resins. But Type R oligomers are

compounds that have been developed with

improved miscibility. This has been achieved

by reducing their molecular weights and

controlling the molecular weight distribution

or, in some cases, producing them in a single

One such example is the silicone oligomer

containing alicyclic epoxy groups X-40-2670

(Table 3, Fig. 5). It has good miscibility with regular epoxies and is used as the main

component of anhydride-cure and light/heat cationic-cure products. Resins produced using X-40-2670 have high glass transition points (high Tg), yet show minimal cure shrinkage as well as excellent heat resistance and transparency. Such resins are being used

reactive groups (Type R)

**Reactive groups: Containing** 

functional groups.

molecular weight.

Alkoxysilyl groups: Not containing

Product name	Organic substituent group	Alkoxy group	Viscosity 25°C mPa·s	Refractive index 25°C	Alkoxy group content wt%	Features
X-40-2670	Ероху	Not containing	3,000	1.487	—	Epoxy equivalent 200 g/mol

(Not specified values)

to a growing extent in optical applications and as binders.

### 4. Oligomer coatings

Alkoxy oligomers have also been used to create a variety of solventless coating agents. which are now available on the commercial market. These products are formulated with the optimal curing agents already in, which means they are easy to use and no mixing is required. When applied to metal, stone, wood and plastic materials, these products cure at room temperature in a prescribed time to form coatings of varying degrees of hardness. They can provide effective surface protection for a variety of materials and improve weatherability and water repellency (Table 4).

### Conclusion

Until recently, alkoxy oligomers have been made by controlling the partial hydrolysis of alkoxy silanes such that molecular weights are kept in a medium range and the material does not become a silicone resin. The alkoxy

### Table 4: Coating products curable under room temperature

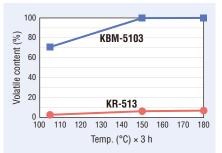
Product name	Organic substituent group	Viscosity 25°C mm²/s	Refractive index 25°C	Tack free time min	Pencil hardness/ Days cured	Features
KR-400	Methyl	1.2	1.390	30 to 60	5H/ <u>2</u> →8H/ <u>7</u>	High hardness
X-40-2327	Methyl	0.9	1.382	5 to 10	5H/ <u>1</u>	Rapid cure; Can be applied over other coatings
KR-401	Methyl/Phenyl	22	1.435	30 to 60	3H/ <u>7</u>	Flexural & impact resistance
substrate: Polished steel sheet. Coating = 10 μm, cured at 25°C/70%RH. (Not specified values)						

\* Substrate: Polished steel sheet. Coating = 10 µm, cured at 25°C/70%RH. (Tack-free time varies depending on temperature and humidity)

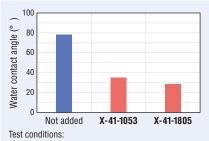


Exterior coatings for vehicles

### Figure 3: Comparison of volatile content of silicone oligomer (KR-513) and silane coupling agent (KR-5103)

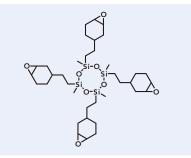


### Figure 4: Hydrophilicity test results for coatings with oligomer added



Fluoropolymer paint/Amt. of oligomer added=5 phr Water contact angle measured after 16 hr immersion in 2.5% sulfuric acid solution

### Figure 5: Basic structure of X-40-2670



oligomers (both new and older) and the silicone oligomer containing reactive functional groups presented in this issue were developed to satisfy more sophisticated user needs. We expect these materials will be used more and more in the development of adhesives, resin modifiers and powder surface treatments for the electronics, aviation and other industries.

> • This is an edited version of the original article released on Jan. 2014.

# **Fluids and Secondary Products**

An array of compounding techniques are employed to enhance the properties of various resins. Compounding with silicone is a common technique, as it can improve heat resistance, weatherability, lubricity, impact resistance and other properties. Hence, today silicones are compounded with many resins. In this edition, we introduce some silicones developed specifically for modification of organic resins.

# Introduction

Silicones exhibit many desirable properties, including excellent heat resistance, cold resistance, weatherability, lubricity, easy release, water repellency, flame retardancy, flexibility, and good electrical properties. By incorporating silicones, organic resins can be improved in these areas. A silicone can be introduced in different ways: a blend-in silicone can be dispersed evenly in the resin, or a silicone modifier can be reacted directly with the resin.

# 1. Blend-in silicones

By nature, silicone generally has poor miscibility with organic resins. As a result, a silicone may be blended directly into a resin, but it can be difficult to achieve uniform dispersion. To solve this problem, silicone master pellets (Table 1) can be used. These are manufactured by evenly dispersing a high concentration of high molecular weight silicone gum in an organic resin. By blending these silicone master pellets directly with the resin pellets and then molding, it is easy to obtain a resin in which the silicone is evenly dispersed.

Silicone rubber powders (Table 2) are another option. These are manufactured in a form that facilitates easy dispersion of the silicone rubber in the resin. One type, called silicone hybrid powders, features a silicone resin coating applied to the surface of silicone rubber powder grains. This improves their agglomeration properties compared to regular silicone rubber powders, for improved dispersion in resins.

There are also silicone emulsions (Table 3), which can be blended directly when manufacturing resins using an emulsion polymerization process. These are made by emulsifying silicone gum in water. The emulsion particles are small, which translates to improved dispersibility in resins.

By blending these silicone master pellets, silicone rubber powders and silicone emulsions with resins at a ratio of 0.1–5%, the user can impart lubricity, easy release, anti-blocking properties, impact resistance, stress relaxation and coloring properties.

# 2. Silicone modifiers

By employing silicones in chemical reactions with organic resins, we can obtain silicone-modified resins in which the silicone structure is incorporated into the structure of the resin. These reactions involve the use of silicones which contain functional groups that react with organic groups in the resins. Dual-end reactive silicone fluids are silicones with functional groups on both ends of the molecule, while single-end reactive silicone fluids are silicones with functional groups on one end only. When dual-end types are used in the reaction, the silicone chains attach to the resin structure as a block to produce silicone block copolymers. When single-end types are used, the result is a silicone graft copolymer, in which the silicone chains hang from the resin side chains (Figure 1).

If a block copolymer is used for silicone modification, it is possible to improve a resin's physical properties, including heat resistance, cold resistance, weatherability, impact resistance and flexibility. Meanwhile, if a graft copolymer is used, it is possible to improve a resin's surface characteristics, including lubricity, release properties, wear resistance and water repellency.

Examples of silicone-modified resins in practical use include acrylic, urethane, epoxy, polyimide, polyether and polycarbonate resins. Modification of these resins requires the use of different types of functional groups, which are contained in reactive silicone fluids. (Meth)acrylate groups are required for acrylic resins, hydroxyl groups for urethane resins, epoxy groups for epoxy



Silicone master pellets

# Table 1: Silicone master pellets

Base resin	Product name	Silicone content %
PP (polypropylene)	X-22-2101	50
LDPE (low density polyethylene)	X-22-2125H	50
EVA (ethylene vinyl acetate copolymer)	X-22-2138B	40
POM (polyacetal)	X-25-5006	40
ABS	X-22-2184-30	30
		(Not specified values)

### Table 2: Silicone rubber powders

Туре	Product name	Avg. particle size $\mu$
Dubbar	KMP-597	5
Rubber	KMP-598	13
Hubrid (radio control rubbar)	KMP-600	5
Hybrid (resin-coated rubber)	KMP-601	12

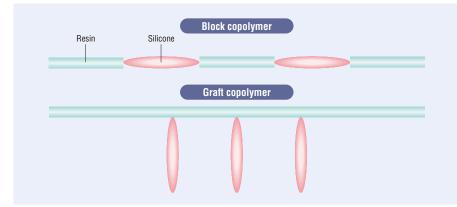
## (Not specified values)

# Table 3: Silicone emulsions

Product name	Non-volatile content %	Particle size nm	Ionicity
KM-9703	45	280	Anionic
X-52-8026C	32	180	Anionic
	•		

(Not specified values)

### Fig. 1: Structure of block copolymer and graft copolymer



resins, amino groups for polyimide resins, hydroxyl or carboxyl groups for polyester resins, and phenol groups for polycarbonate resins. The functional groups that can be reacted with organic resins include amino groups, epoxy groups, hydroxyl groups, phenol groups, methacrylate groups, carboxyl groups and mercapto groups. Silicones containing these groups are available as dual-end types (**Table 4**) or single-end types (**Table 5**), and products are available which exhibit varying degrees of silicone polymerization.

While silicones typically have poor miscibility with organic resins, there are products on the market which offer improved miscibility through the introduction of phenyl groups or polyether groups into the structure of a reactive silicone. Improving miscibility helps to improve the reaction between the resin and silicone, such that the modifying effect can be achieved using a smaller amount. In addition, by incorporating different types of reactive groups, manufacturers have developed products that can be used for multi-modification of resins, which is not possible with conventional products (Table 6).

# Conclusion

Shin-Etsu will continue our efforts to meet the needs of the market for silicones for resin modification, which can imbue organic resins with useful new properties. We are developing products with new structures, including silicones which contain reactive groups, silicones containing heterogeneous functional groups, and branched silicones. We are also working to develop higher purity formulas for reactive silicones.

• Information current as of Jul. 2010.

### Table 4: Dual-end reactive silicone fluids

Reactive group	Product name	Viscosity mm <sup>2</sup> /s	Reactive group equivalent weight g/mol
	KF-8010	12	430
-C3H6NH2	X-22-161A	25	800
-C3H6INH2	X-22-161B	55	1,500
	KF-8012	90	2,200
	KF-105	15	490
-СзН6ОСН2СН СН2	X-22-163A	30	1,000
6	X-22-163B	60	1,800
	X-22-163C	120	2,700
0	X-22-169AS	30	500
-C2H4-	X-22-169B	70	1,700
	KF-6000	35	470
	KF-6001	45	900
-C3H6OC2H4OH	KF-6002	70	1,600
	KF-6003	110	2,500
-СзН6	KF-2200	100	1,600
	X-22-164A	25	860
-C3H6OCOC=CH2	X-22-164B	55	1,600
CH3	X-22-164C	90	2,400
-C2H4COOH	X-22-162C	220	2,300
0	X-22-168AS	160	500
-R O	X-22-168A	140	1,000
	X-22-168B	180	1,600
	X-22-167B	55	1,700
-C3H6SH	X-22-167C	90	2,300

Table 5: Single-end reactive silicone fluids

Reactive group	Product name	Viscosity mm²/s	Reactive group equivalent weight g/mol			
-C3H6OCH2ÇH ÇH2	X-22-173BX	30	2,500			
6	X-22-173DX	60	4,700			
	X-22-170BX	40	2,800			
-C3H6OC2H4OH	X-22-170DX	65	4,700			
CH2OH -C3H6OCH2C-C2H5	X-22-176DX	130	3,200			
CH2OH	X-22-176GX-A	400	14,000			
	X-22-174ASX	9	900			
	X-22-174BX	27	2,300			
-C3H6OCOC=CH2	KF-2012	60	4,600			
CH3	X-22-2426	200	12,000			
	X-22-2475	5	420			
			(1) 10 1 1 1			

(Not specified values)

### Table 6: Reactive silicone fluids containing heterogeneous functional groups

Reactive group	Heterogeneous substitution group	Product name	Viscosity mm <sup>2</sup> /s	Reactive group equivalent weight g/mol
		X-22-4272	270	1,100
Hydroxy	Polyether	X-22-4952	100	1,200
		KF-6123	400	1,200
	Polyether	X-22-3939A	3,300	1,700
Amino	Phenyl	X-22-1660B-3	550	2,200
	Vinyl	X-22-9412	14	430
	Polvether	KF-1002	5,000	4,500
Ероху	Polyether	X-22-4741	380	2,500
	Phenyl	X-22-2000	170	600
	Aralkyl	KF-1005	2,500	250

(Not specified values)

(Not specified values)

# **Silicone Powder**

Silicone powders feature the characteristics of silicone and are used in applications ranging from plastics and paints to personal care products. Silicone powders are an essential component for manufacturers wanting to enhance the functionality of their products.

# Introduction

Shin-Etsu has developed a wide array of silicone powders, making good use of the properties that silicones have to offer (Table 1). In this edition, we present silicone rubber powders, silicone resin powders, and our independently developed hybrid silicone powders and silica powders.

# 1. Silicone rubber powders

Silicone rubber powders are fine-grained silicone rubber with a structure of crosslinked straight chain dimethylpolysiloxane (Photo 1). Compared to common rubbers, silicone rubbers have superior weatherability and heat and cold resistance, and exhibit rubber elasticity over a wide temperature range (-50° to 250° C). Silicone rubber powders can be added to synthetic rubbers to improve their sliding properties, abrasion resistance, releasability, heat resistance and cold resistance. And due to their exceptional flexibility, they can be added to synthetic resins to improve their impact resistance.

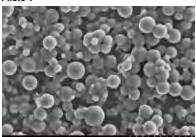
As an example, we show the effects when silicone rubber powder is added to polystyrene resin (Table 2).

# 2. Silicone resin powders

Silicone resin powders have a crosslinked three-dimensional network structure in which the siloxane bonds are expressed as (CH<sub>3</sub>SiO<sub>3/2</sub>)n. They are polymethylsilsesquioxane in powdered form. Compared to common organic polymers, silicone resin powders have superior heat resistance. In thermogravimetric analysis, they show almost no change in weight even at 400°

C, and do not melt during heating. This is due to the highly crosslinked network structure of polymethylsilsesquioxane, and is partly why silicone resin powders do not dissolve or swell in organic solvents including alcohols, ketones, esters, or petroleum fractions.

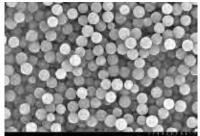
#### Photo 1



Electron micrograph of KMP-598 silicone rubber powder

As you can see from Photo 2, the particle size distribution is narrow. Unlike silicone rubber powders, silicone resin powders are hard substances with no elasticity. This property gives them superior sliding properties. Silicone resin powders can be added to plastic films, paints, inks, personal care products, coating agents, waxes, rubbers and other materials to improve their sliding properties. And because their refractive indices differ from those of common organic polymers (Table 3), silicone resin powders can be used to impart plastics with light diffusing properties. Their use as a light diffusing agent has increased in recent years. KMP-702 was developed with an emphasis on high optical transmittance with such applications in mind.

Photo 2



Electron micrograph of KMP-590 silicone rubber powder

Table 2: Effects of adding silicone rubber powder (polystyrene resin, impact resistance and abrasion resistance test results)

F						
X-52-875 Amt. added	Impact resis Breaka		Abrasion resistance test*2 Abrasion amount mg			
(Parts by weight/ 100 parts of Polystyrene	Drop height 40 cm	Drop height 55 cm	Abrasion pressure 15.5 kg/cm <sup>2</sup>	Abrasion pressure 25.4 kg/cm <sup>2</sup>		
0 (Blank)	100	100	54 (after 2 min)	—		
5	0	0	6	12		
10	0	0	Less than 1	Less than 1		

\*1 A 62 g steel ball is dropped on a molded sheet (30 mm x 30 mm x 2 mm), which is then examined for breakage. \*2 Using a carbon steel cell (inner diameter: 20.2 mm; Outer diameter: 25.6 mm) rotating at 200 rpm, rotational friction is applied to a molded sheet. After 10 minutes, the abrasion amount is measured.

#### Table 3: Refractive indices of silicone resin powder and synthetic resins

Synthetic resin	Refractive index
KMP-590	1.43
Polymethyl methacrylate	1.49
Polycarbonate	1.59
Polystyrene	1.59

Table 4: Moisture absorption of X-52-7042 and X-52-7043 silica powders (Change in moisture content caused by humidification)

Process	Process description	Processing conditions	Water content ppm		
No.		FIDCESSING CONULIONS	X-52-7042	X-52-7043	
1	Drying before humidification	105°C/3 h	250	170	
2	Humidification	①→35°C/85% Humidity/24 h	270	270	
3	Re-drying	②→250°C/10 min	250	250	

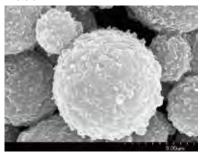
### Table 1: Silicone powder types

Table 1: Silicone powder types						
Туре	Product name	Shape	Avg. particle size µm	Particle size distribution µm	True specific gravity	Water content %
	KMP-597	Spherical	5	1 to 10	0.97	0.1
Rubber	KMP-598	Spherical	13	2 to 30	0.97	0.1
	X-52-875	Amorphous	30	1 to 100	0.97	0.1
	KMP-590	Spherical	2.0	1 to 4	1.3	1
	KMP-706	Spherical	2.0	1 to 4	1.3	1
Resin	KMP-701	Spherical	3.5	1 to 6	1.3	1
	X-52-854	Spherical	0.7	0.2 to 5	1.3	1
	X-52-1621	Spherical	5.0	1 to 8	1.3	1
	KMP-600	Spherical	5	1 to 15	0.99	0.1
	KMP-601	Spherical	12	2 to 25	0.98	0.1
	KMP-602	Spherical	30	4 to 60	0.98	0.1
	KMP-605	Spherical	2	0.7 to 5	0.99	0.1
Uubrid	X-52-7030	Spherical	0.8	0.2 to 2	1.01	0.1
Hybrid	KSP-100*	Spherical	5	1 to 15	0.99	0.1
	KSP-101*	Spherical	12	2 to 25	0.98	0.1
	KSP-102*	Spherical	30	4 to 60	0.98	0.1
	KSP-105*	Spherical	2	0.7 to 5	0.99	0.1
	KSP-300*	Spherical	5	1 to 15	1.1	0.1
	X-52-7042	Spherical	4	0.5 to 15	2.2	0.1 max.
Silica	X-52-7043	Spherical	10	0.5 to 15	2.2	0.1 max.
	X-24-9163A	Spherical	0.1	0.1	1.8	2
	onal aara produ					oified value

\* For personal care products. KSP-300 contains phenyl groups.

<sup>(</sup>Not specified values)





Electron micrograph of KMP-600 hybrid silicone powder

## 3. Hybrid silicone powders

Hybrid silicone powders consist of spherical rubber powder grains coated with silicone resin. In electron micrographs, you can see that the silicone rubber particles are coated with spherical silicone resin (**Photo 3**).

As discussed above, silicone rubber powders excel in flexibility and impact resistance. When used in formulations for personal care products, their rubber elasticity means they can impart a unique feel to the product when it is used. However, powders of smaller particle size are especially subject to strong agglomeration, and to achieve primary particle distribution, it is necessary to knead the mixture with high shear force. This made formulation problematic. And in synthetic resins, dispersion was often insufficient even after thorough mixing and kneading.

Hybrid silicone powders eliminate these shortcomings, and the key to this can be found in their structure, i.e. silicone rubber powder grains coated with silicone resin powder. Hybrid silicone powders resist agglomeration (Fig. 1), show outstanding dispersion onto substrates (Photo 4), have a soft and slippery feel, and have excellent impact resistance – in other words, they exhibit features of both silicone rubber powder and silicone resin.

One of our hybrid silicone powders, KSP-300, was developed using a silicone Photo 4



KMP-601 hybrid silicone powder

Silicone rubber powder

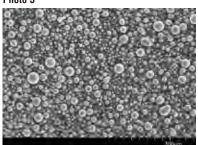
rubber that contains phenyl groups for enhanced resistance to swelling in dimethyl silicone fluid (Fig. 2).

### 4. Silica powders

Silica powders X-52-7042 and X-52-7043 were developed as anti-blocking agents for plastic film, and consist of relatively large, spherical silica particles (**Photo 5**). Compared to common silica, these powders contain less moisture and have low moisture absorption properties (**Table 4**), and they can be used in resin compounding and molding without risk of foaming. Compared to silicone resin powders, X-52-7042 and X-52-7043 have high refractive indices (near those of typical organic polymers), so they maintain high transparency.

Sol-gel silica powder X-24-9163A consists

### Photo 5



Electron micrograph of X-52-7042 silica powder

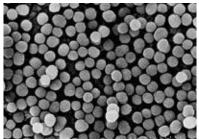
of hydrophobized submicron spherical silica particles. As you can see from **Photo 6**, the particle size distribution is narrow. And thanks to an advanced hydrophobization process, X-24-9163A has excellent dispersibility and lubricity despite its submicron grain size, and can be dispersed even in organic solvents with just a small rise in viscosity.

# Conclusion

Demand throughout the industrial sector for functional filling agents is only expected to rise. Shin-Etsu is committed to ongoing technological development with respect to silicone powders, to meet the increasingly sophisticated needs of the market.

Information current as of Oct. 2009.

# Photo 6



Electron micrograph of X-24-9163A silica powder

### Figure 1: Agglomeration of hybrid silicone powder (Amount passing through mesh when shaken for 90 seconds by a shaker device)

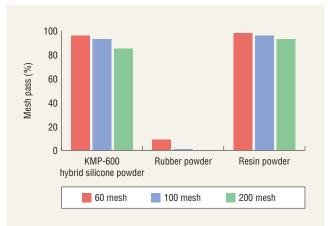
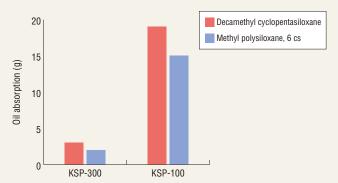


Figure 2: Swelling of KSP-300 in dimethyl silicone (Oil absorption)



Five grams of hybrid powder are blended into 50 g of silicone fluid. After shaking, the mixture is stored for three days at room temperature, then the solid and liquid portions separated using a pressure filter apparatus. Oil absorption (g) = [Weight (g) of solid portion after solid/liquid separation] – 5 (g)



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